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TALLINN UNIVERSITY OF TECHNOLOGY

Department of computer Engineering
ati.ttu.ee

IAF0030
Arvutitehnika erikursus I

Süsteemide usaldusväärsus ja veakindlus
Dependability and fault tolerance

Loeng 7
Enemies of Dependability

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Estonia

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Important Dates

- ✓ Case Study drafts: April 6 (Monday)
- ✓ Case Studies discussion: April 7 (Be there or be square!)
- ✓ Presentations: April 28, May 5 (5+5)
- ✓ **Final report: May 19, 12:00** (sharp!)


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Lecture Outline

- ✓ Introduction
- ✓ Software
- ✓ Hardware
- ✓ Humans



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Downtime

- ✓ Planned downtime
 - Maintenance, repair, upgrade
- ✓ Unplanned downtime
- ✓ Dependability:
 - Turn unplanned downtime into planned downtime
 - Reduce downtime (magic nines)

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Sources of Problems

Category	Early 80s	Late 80s	90s	2000s
Hardware + environment	32%	29%	20%	?
Software	26%	58%	40%	?
Human Operators	42%	13%	40%	?

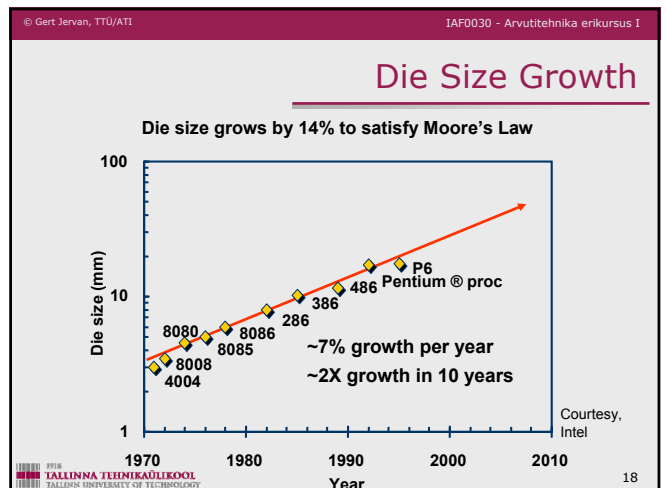
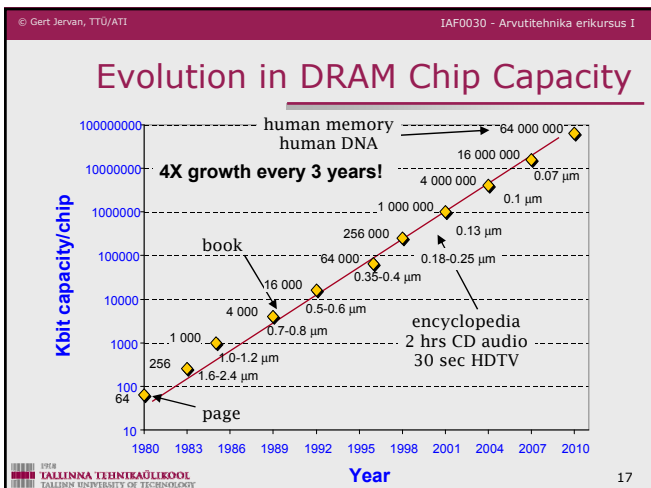
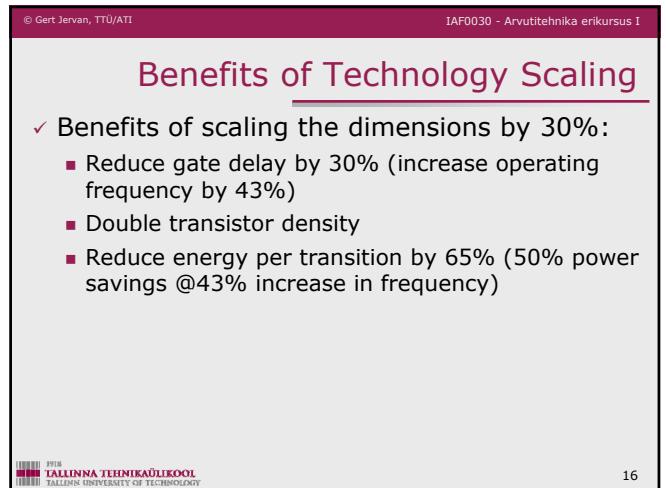
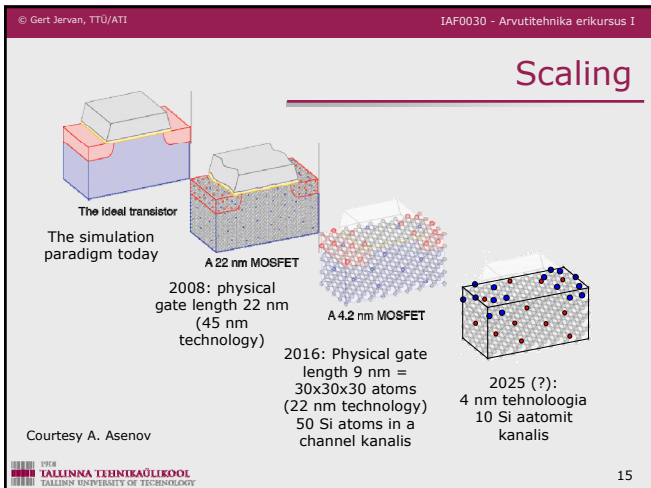
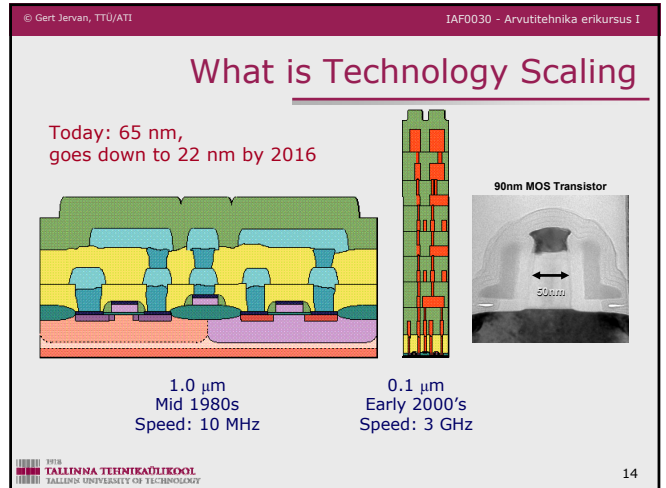
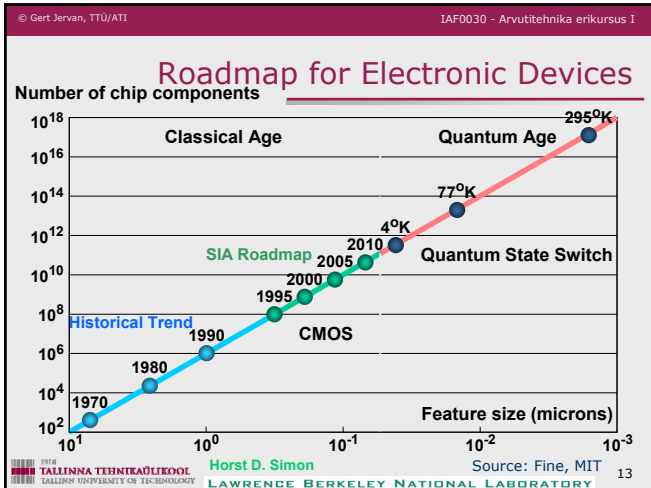
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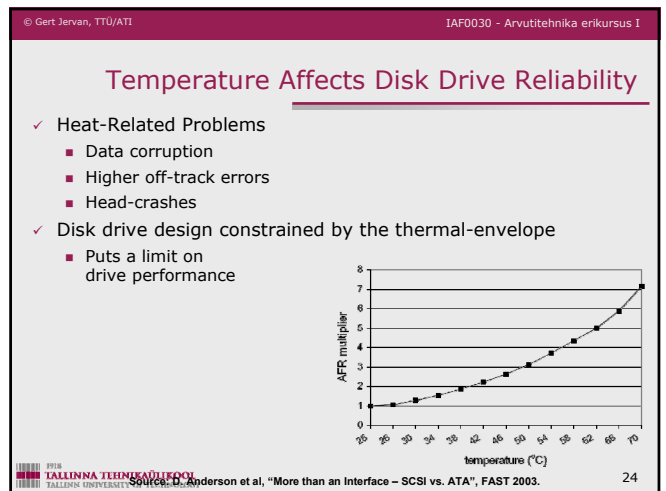
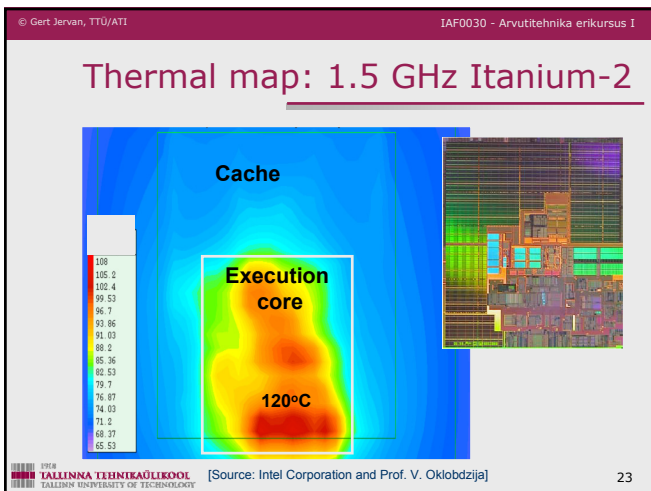
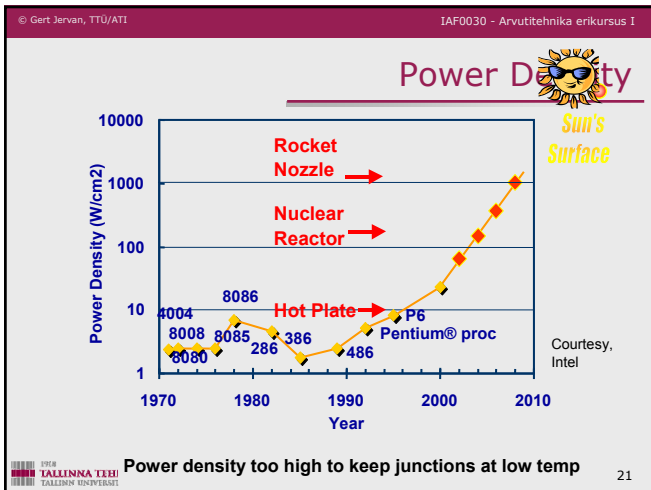
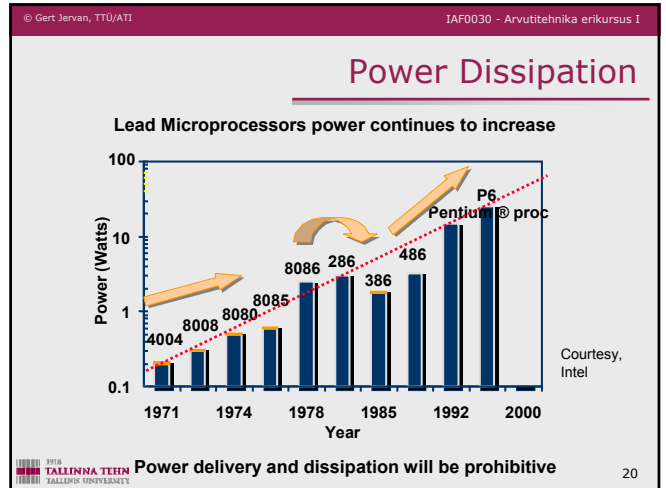
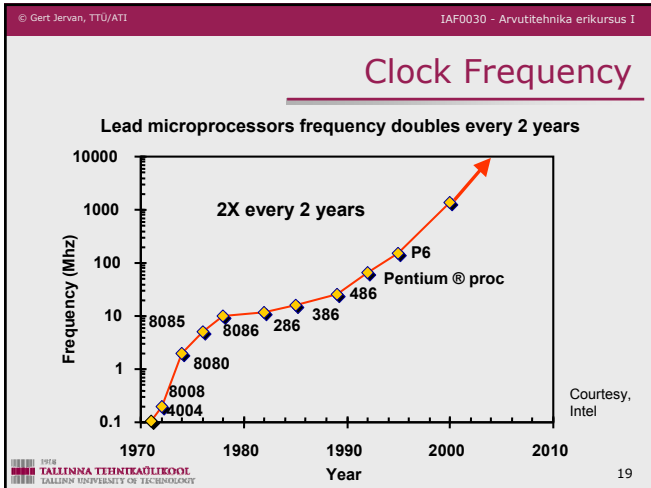
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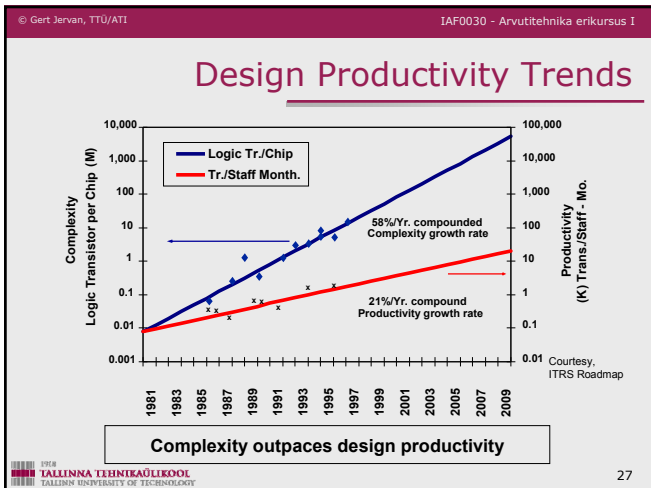
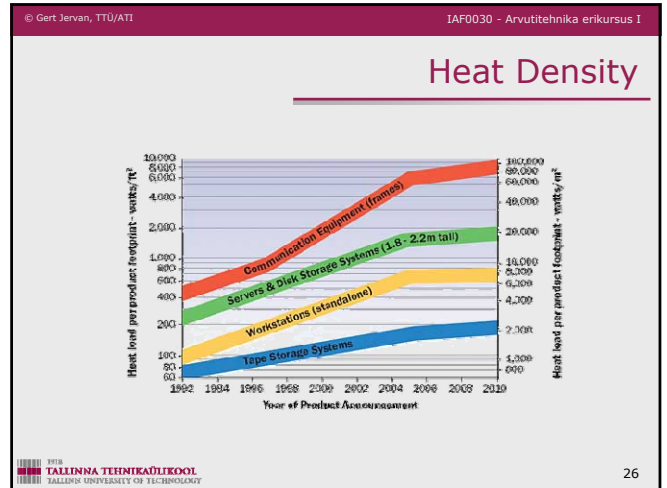
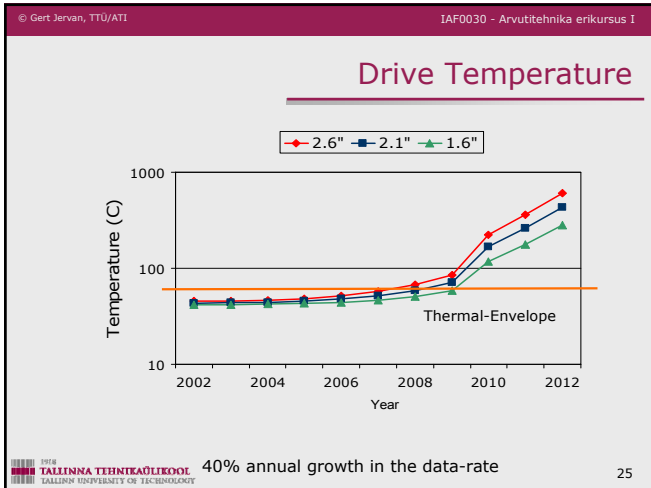
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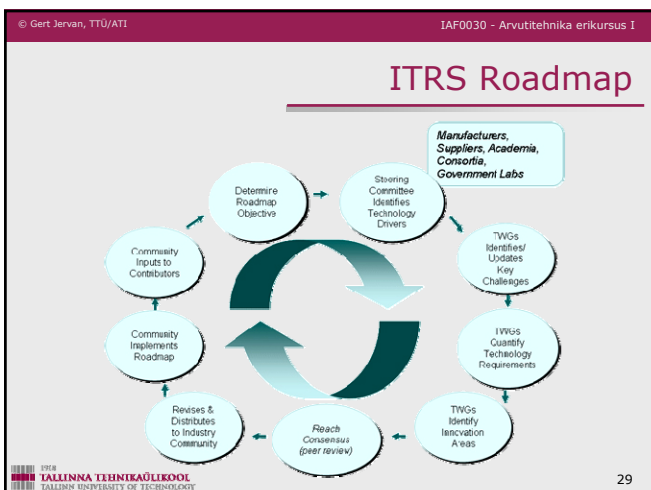
Hardware







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- ### ITRS Roadmap
- ✓ ITRS predicts the main trends in the semiconductor industry spanning across 15 years into the future.
 - ✓ The International Technology Roadmap for Semiconductors is sponsored by the five leading chip manufacturing regions in the world: Europe, Japan, Korea, Taiwan, and the United States.
 - ✓ The objective of the ITRS is to ensure cost-effective advancements in the performance of the integrated circuit and the products that employ such devices, thereby continuing the health and success of this industry.
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- ### ITRS Roadmap
- ✓ www.itrs.net
 - ✓ Editions:
 - 1994, 1997, 1999, 2001, 2003, 2005
 - Previously: SIA Roadmap
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Technology Directions: ITRS Roadmap

Year	1999	2002	2005	2008	2011	2014
Feature size (nm)	180	130	100	70	50	35
Mtrans/cm ²	7	14-26	47	115	284	701
Chip size (mm ²)	170	170-214	235	269	308	354
Signal pins/chip	768	1024	1024	1280	1408	1472
Clock rate (MHz)	600	800	1100	1400	1800	2200
Wiring levels	6-7	7-8	8-9	9	9-10	10
Power supply (V)	1.8	1.5	1.2	0.9	0.6	0.6
High-perf power (W)	90	130	160	170	174	183
Battery power (W)	1.4	2.0	2.4	2.0	2.2	2.4

For Cost-Performance MPU
(L1 on-chip SRAM cache; 32KB/1999 doubling every two years)

<http://www.itrs.net/>

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Industry Scaling Trends & Reliability Considerations

- ✓ Reduced gate oxide thicknesses
- ✓ Increased thermal/power densities
- ✓ Reduced interconnect dimensions
- ✓ Higher device operating temperatures
- ✓ Increased sensitivity to defects and statistical process variations
- ✓ Introduction of new materials with each new generation, replacing proven materials
 - e.g. Cu and low K inter-level dielectrics for Al and SiO₂

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Industry Scaling Trends & Reliability Considerations

- ✓ Dramatic increase in processing steps with each new generation
 - approx. 50 more steps per generation and a new metal level every 2 generations
- ✓ Rush to market - Less time to characterize new materials than in the past
 - e.g. reliability issues with new materials not fully understood and potential new failure modes
- ✓ Manufacturers' trends to provide 'just enough' lifetime, reliability, and environmental specs for commercial & industrial applications
 - e.g. 3-5 yr product lifetimes, trading off 'excess' reliability margins for performance

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Industry Scaling Trends & Reliability Considerations

- ✓ Significant rise in the amount of proprietary technology and data developed by manufacturers, reluctance to share information with hi-rel customers
 - e.g. process recipes, process controls, process flows, design margins, MTTF
- ✓ Next generation microelectronics focus on the performance needs of the commercial customer, with little or no emphasis on the needs of the space customer
 - e.g. extended life, extreme environments, high reliability
- ✓ Increasingly difficult testability challenges due to device complexity

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Product Technical Trends

	1990	2000	2010
Operating temperature, °C	-55 to 125	-40 to +85	0 to 70
Supply voltage	5v	1.5v	0.6v
Max. power (high perf.)	5	100	170
No. of package types	<10	<80	??
Design support life	>10 yrs.	1-5 yrs.	<1yr.
Production life	>10 yrs.	3-5 yrs.	<3yrs.
Service life	>20 yrs.	5-10 yrs.	<5yrs.

*MRQW-2002, Bernstein

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Commercial Chip Reliability Estimation

Known trends for TDDB, EMI and HCI degradation

*Extrapolated from ITRS roadmap, MRQW-2002, Bernstein

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Impact of scaling on wear-out failure mechanisms

- ✓ Dominant Failure Mechanisms
 - Electromigration (EM)
 - Migration of atoms in a conductor
 - Hot Carrier Injection (HCI)
 - High energy carriers degrade oxide
 - Negative Bias Temperature Instability (NBTI)
 - Time-Dependent-Dielectric-Breakdown (TDDB)
 - Oxide breakdown: Formation of a conduction path through gate oxide

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Device Reliability Trends

As technology progresses, wearout failures become statistically indistinguishable from infant mortality failures with the same wearout drivers.

Failure Rate

Log time (years in service)

Infant mortality (random, extrinsic)

Wearout (intrinsic)

2010, $\beta < 1.2$

2000, $\beta \sim 1.8$

1990, $\beta \sim 3$

*MRQW-2002, Bernstein

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Correct of defective?

Theory:

Good parts

Defective parts

best case worst case spec worst case margin

Reality:

"Good" parts

"Bad" parts

best case worst case spec worst case margin

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Why it is all needed???

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Req'd Performance for Multi-Media Processing

0.01 0.1 1 10 100 GOPS

Video: MPEG1 Extraction, MPEG2 Extraction, Compression, MP/ML, MP/HL

Audio Voice: JPEG, MPEG4, Dolby-AC3, Sentence Translation, Word Recognition, Voice Auto Translation

Graphics: 2D Graphics, 3D Graphics, 10Mpps, 100Mpps

Communication Recognition: FAX, Modem, VoIP Modem, Voice Print Recognition, Face Recognition, SW Defined Radio, Moving Picture Recognition

GOPS: Giga Operations Per Second 41

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Implications to Design

- ✓ Design fabric will be **Regular**
- ✓ Will look like **Sea-of-transistors** interconnected with regular interconnect fabric
- ✓ Shift in the design efficiency metric
 - From **Transistor Density** to **Balanced Design**

BUT

- ✓ Manufacturing of these sub-nanometer chips **defect-free** is almost **impossible** (yield is below acceptable levels)
- ✓ Increasing importance of transient and intermittent faults (due to the environment)

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New Architectures

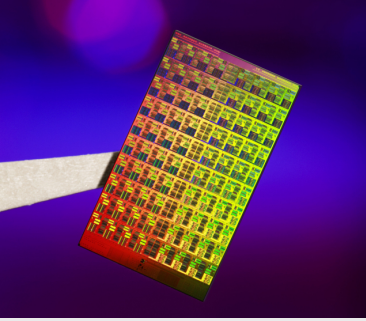
- ✓ Massively parallel architectures (Von Neuman is dead...) based on hundreds (millions) of (non-) reliable components
 - Multiple Input stream, Multiple Data stream machines
 - Wide use of network infrastructures (Networks-on-Chip)
 - Built-In Self-Repair will become a widespread technology
 - Dynamic reconfiguration

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Intel Polaris 8x10 Network on Chip

- ✓ 8x10 processors on one chip, 65 nm
- ✓ Teraflops performance under 100 W
- ✓ Peak performance up to 2 Tflops
- ✓ Each processor:
 - 5 GHz
 - 20 Gflops
 - @1.2V



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Soft Errors


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Transient faults

- Happen for a short time
- **Corruptions of data, miscalculation in logic**
- Do not cause a permanent damage of circuits
- Causes are outside system boundaries



Radiation



Lightning storms

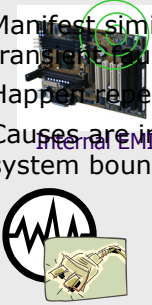
Electromagnetic interference (EMI)

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Intermittent faults


- Manifest similar as transient faults
- Happen repeatedly
- Causes are inside system boundaries



Power supply fluctuations



Crosstalk

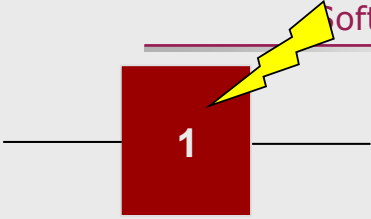


Software errors (Heisenbugs)

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Soft Errors



- ✓ Transient bit-flip (soft memory error)
 - Random event
 - Corrupts the value but not the cell
 - Can be corrected (in contrast to hard errors caused by faults in the hardware itself)
 - Happen continuously during system lifetime (i.e., can not be screened by burn-in tests)

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Sources

- ✓ First traced to alpha particle emissions from chip packaging materials
 - Most sources removed (pure materials, different designs, shielding)
- ✓ Today's main problem: cosmic radiation
 - Cosmic particles from deep space (actually 5th- or 6th-hand collision particles)
 - At ground level ca 95% neutrons, 5% protons
 - Radioactive material in manufacturing process

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Sources (cont.)

- ✓ Four main sources:
 - Low-energy alpha particles
 - High-energy cosmic particles
 - Thermal neutrons
 - Poor system design

SER type	Source	Mechanism	Trend
Alpha	Thorium and uranium contamination in-mold compound, silicon, or lead bumps	2- to 9-MeV alpha particle creating electron-hole funnel traveling 25 microns in silicon	Exponential increase with scaling
Cosmic	Intergalactic sources modulated by solar flares	High-energy neutrons/protons (10 MeV to 1 GeV) colliding with silicon nuclei	Decrease in failures in time per megabit
Thermal neutron	Boron present in BPSG25-meV neutrons	Collision with B10 in BPSG	Highest, always dominates if present

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Soft Errors

The electric field in the depletion region directly generates electron-hole pairs in its wake, causing the charges to drift so that the transistor sees a current disturbance

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Source and Characteristics (cont)

The figure shows a schematic view of how cosmic rays cascade through the earth's atmosphere. The high-energy particle flux which hits the earth's outer atmosphere contains about 1000 particles/m²-s, mostly protons with energies far above 1 GeV. As the particles hit atmospheric atoms, they shatter them, causing a cascade which increases to a particle flux of 1,000,000/m²-s at airplane altitudes (12,000 m). The lower atmosphere is so dense that much of the flux is absorbed by sea level, where the flux is only ten times higher than the incident flux. The cascades contain a zoo of particles, of which only the neutrons and pions can cause significant LSI fails

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Impact of Elevation

This plot shows how the soft-error rate of an LSI chip changes with altitude. Shown are the altitudes of New York City (sea level), Tucson (2,390 ft), Denver (5,280 ft), and Leadville, CO (10,152 ft).

Also shown is the estimated reduction of sea-level fails if concrete shielding is introduced. The point marked "Kansas City underground" assumes about 5000 g/cm² of limestone, which should totally block out all cosmic rays so that there should be zero fails. This calculation assumes that the only important particles for SER effects are protons, neutrons, and pions. At sea level, the flux is >96% neutron, and these determine the soft-error rate. Above sea level, the percentage of protons and pions increases rapidly until at 10,000 ft altitude, they account for about 35% of the fails.

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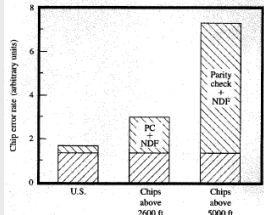
The Altitude Factor

Summary of data for field test of DRAM chips. The plot shows the theoretical prediction for the cosmic ray flux change with altitude (solid line), the measured cosmic ray flux (dots), and the change in fail rate for a 288Kb DRAM chip. The experiment included a total of 71M bits. This result was the first life test of an IBM chip and conclusively showed the dramatic effect of altitude on the fail rate of a chip.

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Source and Characteristics (cont)



Altitude effects on repairs of memory modules (1984). The figure shows the data extracted from repair records for memory modules in 1984. The modules have been divided into three groups depending on their altitude, with the leftmost group showing the average for all U.S. modules, the center section for those which came from sites above 2600 ft, and the rightmost section for those from sites above 5000 ft. The lower hatched section in each bar indicates the number of normal hard fails (some memory bit had permanently failed). The upper hatched section shows the number of modules with no electronic defect (called an NDF, for no defect found). For the United States as a whole (mean altitude 770 ft), this NDF result accounted for less than 10% of the modules, but in the mountain states (mean altitude 3200 ft) it was five times this level, and accounted for about 50% of the modules. For the modules installed in Denver, CO (altitude 5280 ft), the NDF rate was ten times the rate for the country as a whole. Data from W. S. Graff, IBM Data Systems Division, internal report, 1985.

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The Altitude Factor

- ✓ A person on an airplane over the Atlantic at 35,000 ft working on a laptop with 256 Mbytes (2 Gbits) of memory. At this altitude, the SER of 600 FITs per megabit becomes 100,000 FITs per megabit, resulting in a potential error every five hours.
- ✓ 1 FIT (failures in time), is the number of failures in 1 billion device-operation hours. A measurement of 1000 FITs corresponds to a MTTF (mean time to failure) of approximately 114 years.

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Evidence of Cosmic Ray Strikes

- ✓ Documented strikes in large servers found in error logs
 - Normand, "Single Event Upset at Ground Level," IEEE Transactions on Nuclear Science, Vol. 43, No. 6, December 1996.
- ✓ Sun Microsystems, 2000 (R. Baumann, Workshop talk)
 - Cosmic ray strikes on L2 cache with defective error protection
 - caused Sun's flagship servers to suddenly and mysteriously crash!
 - Companies affected
 - Baby Bell (Atlanta), America Online, Ebay, & dozens of other corporations
 - Verisign moved to IBM Unix servers (for the most part)

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Current Situation

Soft errors induced the highest failure rate of all other reliability mechanisms combined

Rober Baumann, TI

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Measuring

- ✓ The rate at which SEUs (single-event-upsets) occur is given as SER, measured in FITs (failures in time)
- ✓ 1 FIT = 1 failure in 1 billion device-operation hours
- ✓ 1000 FIT \approx MTTF 114 years

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Examples

- ✓ A Cell Phone with 4 Mbit memory, SER=1000 FIT per megabit. Result: 1 soft error every 28 years
- ✓ A high-end router with 10 Gbit SRAM. SER=600 FIT per megabit. Result: 1 error every 170 hours
- ✓ Router farm with 100 Gbits: 17 hours
- ✓ Laptop in the airplane: 256 Mbit memory. SER=100,000 FIT per megabit. Result: error in every 5 hours

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Recently Reported Data on Soft Error Rates

Type of Memory	Reported SER	Error per bit-hour	FIT*/Mbit
Goal for new Cypress products	200 FIT*	?	?
SRAM (quoted by vendors)	200 to 2,000 FIT	?	?
"typical"	1,000 FIT	?	?
DRAM at full speed	Few hundred to few thousand FIT	?	?
SRAMs at 0.25 micron* and below	10,000 to 100,000 FIT	?	?
Commercial CMOS* memory	>1E-5 to 1E-7 per bit-day*	>4E-7 - 4.2E-9	4 million - >400 million
*some 0.13-micron technologies	10,000 or 100,000 FIT/Mbit	1E-11 - 1E-10	10,000 - 100,000
1Gbit* memory in 0.25µm	One error per week	6E-12	6,000
4M SRAM	<1E-10 upset/bit-day	<4.2E-12	<4,200
1 Gbit of DRAM (Nite Hawk)	2.3E-12 upset/bit-hour	2.3E-12	2,300
SRAM and DRAM	1 - 2 E-12 upset/bit-hour	1 - 2E-12	1,000 - 2,000
~8.2 Gbits of SRAM (CRAY YMP-8)	1.3E-12 upset/bit-hour	1.3E-12	1,300
SRAM	1,000 FIT/Mbit	1E-12	1,000
256 MBytes*	One error per month	7E-13	700
160 Gbits of DRAM (Fermilab)	2.5 errors per day	7E-13	700
32 Gbits of DRAM (CRAY YMP-8)	6E-13 upset/bit-hour	6E-13	600
MoSys 1T-SRAM (no ECC)	500 FIT/Mbit	5E-13	500
Micron estimate, 256 MBytes	2 - 4 errors per year	1.2 - 2.4E-13	120 - 240
*"ultra-low" failure rates	50 to 100 FIT per Mbit	5E-14 - 1E-13	50 - 100

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Physical Solutions are hard

- ✓ Shielding?
 - No practical absorbent (e.g., approximately > 1 m of concrete)
 - unlike Alpha particles
- ✓ Technology solution: SOI?
 - Partially-depleted SOI of some help, effect on logic unclear
 - Fully-depleted SOI may help, hard to manufacture in high volumes
- ✓ Radiation-hardened cells?
 - 10x improvement possible with significant penalty in performance, area, cost
 - 2-4x improvement may be possible with less penalty
- ✓ Some of these techniques will help alleviate the impact of Soft Errors, but not completely remove it

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Testing

- ✓ Accelerated testing and predictions
 - Use concentrated particle beam to "bomb" chips
 - Found to agree with long-term field testing very well

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The problem to be solved:

How to design reliable system out of non-reliable hardware?

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Software Failures

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Some information on the following slides: © George Candea

Software

- ✓ Is software getting worse?
 - Tandem OS (1985): 4 MLOC
 - Linux (2001): 30 MLOC (kernel 2.6.29: 11 MLOC)
 - Windows XP (2001): 35 MLOC
 - MS Vista (2006): 50 MLOC
 - Jim Gray's estimate: 1 bug/KLOC
 - Reducing bugs/KLOC vs. increasing KLOCs/product

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Failures

- ✓ Hard to pinpoint a single root cause:
 - Coca-cola → disk crash → database failure
- ✓ Software bugs are faults!

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Types of Bugs

- ✓ **Heisenbug**: disappears (or manifests differently) when you try to research it
 - Named after "Heisenberg uncertainty principle"
 - Debug mode versus release mode
 - Uninitialized variables
 - Fandango on core
 - Race conditions

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Types of Bugs

- ✓ **Bohrbug**: constant, reproducible, easy to deal with
 - Named after the Bohr atom model
 - Bohrbug does not disappear or alter its characteristics when it is researched
 - Ghost in the code
 - Overflow

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Types of Bugs

- ✓ **Schrödingbug**: only starts manifesting when
 - is used in an unusual way
 - someone realizes it should be there
 - Named after Schrödinger's cat thought experiment
 - Determinism!
 - It is important to repair, not to determine the cause
 - For example: DB system works with small amount of data but not with many records

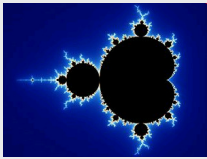
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Types of Bugs

- ✓ **Mandelbug**: underlying cause is so complex and obscure, it makes the bug seems nondeterministic
 - Named after fractal innovator Benoît Mandelbrot
 - A bug whose behavior does not appear chaotic, but whose causes are so complex that there is no practical solution.
 - For example: a flaw in the fundamental design of the entire system.



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Duration of Failures

- ✓ Permanent failure: once it manifests, won't go away unless you repair the system
E.g., cut a network cable
- ✓ Intermittent failure: only occurs on occasion, for unknown reasons (until debugged... often workload)
E.g., Patriot missile defense
- ✓ Transient failure: if you wait or retry, goes away
E.g., various media corruption

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Software Failures

- ✓ crash
- ✓ hang
- ✓ respond correctly but too late
- ✓ provide wrong data

- ✓ how to classify ? (fail-stop, fail-fast, Byzantine)
- ✓ how does recovery affect classification ?

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Bug Triggers

- ✓ Timing
 - interleaving of events → many execution traces
 - hard to test all
- ✓ Recovery code
 - deals with exceptions → hard to simulate prior to shipping (ex. check NULL on return from malloc())
 - fault injection often used
- ✓ Third-party code
 - customer software, drivers, extensions, library users
 - Microsoft's "driver certification" → a way to combat this
- ✓ Boundary conditions
 - simple ones found through static analysis, complex ones are hard
- ✓ Bug-fix patches
 - customer system diverges over time
 - OS patches particularly evil

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A Solution

- ✓ In the Web community: high availability is achieved via three-tiered model:
 - Reliable back end (databases)
 - Stateless middle tier (application servers)
 - Front end (web servers)

- ✓ Other communities?

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